



# ANNUAL REPORT 2023

AND SECOND INDEPENDENT ALIGNMENT ASSESSMENT



[www.itsci.org](http://www.itsci.org)

# About the ITSCI Programme



The International Tin Supply Chain Initiative (ITSCI) is a traceability and due diligence programme for the tin, tantalum, and tungsten (3T) minerals.

Currently implemented in the African Great Lakes region – Burundi, Democratic Republic of Congo, Rwanda, and Uganda, we monitor over 2,700 3T mine sites. Our commitment to responsible sourcing starts at grassroot level with an on-the-ground team continuously collecting, verifying, and reporting information.

As a facilitation initiative, we support companies with their due diligence responsibilities in full alignment with the OECD Guidance recommendations. Our core activities are to deliver training and tools to multiple stakeholders at all levels of the supply chain, aiming to enhance good governance in the mining sector. One of our key strengths lies in acting as a convening force and instrument of mediation to support risk mitigation and resolution.

By making available unique and credible first-hand information, we enable businesses to make appropriate and effective decisions to fulfil their due diligence, and support government authorities and civil society towards progressive improvement and good governance in mining.

In 2023, we were the first joint industry initiative to announce a successful second Alignment Assessment completed by an independent expert assessor and that concluded that the ITSCI Programme is Fully Aligned with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.

ITSCI works with around 100,000 artisanal and small-scale miners, improving their livelihoods and supporting around 5 million people with their activities, while bringing confidence of companies to source responsibly from high-risk areas.





## READ ABOUT

- 2023 review from our Governance Committee
- Capacity building in Goma, DRC
- Promoting transparency
- Supporting Responsible and Inclusive Mining Governance
- Independent Alignment Assessment of ITSCI using OECD methodology - key highlights
- Data and traceability management
- Whistleblowing



# Kumbuka Afrika

## ITSCI new field implementation partner

After more than 10 years of successful progress, our field implementation partner Pact and ITSCI believed that local management teams had the ability and expertise to lead and deliver the implementation of ITSCI on the ground. Pursuing the vision of enhancing local ownership, all in-region Pact staff working on ITSCI transitioned to a local non-profit organisation through a localisation process.

Localisation is considered good practice in the international development community. It capitalises on in-region teams' understanding and knowledge of local context, builds on their trusted relationships developed over the years with multiple stakeholders, including governments, companies, and communities.

ITSCI and Pact worked together to facilitate the transition. Starting October 2023, activities of the ITSCI Programme in the Great Lakes Region started to be managed by the non-profit organisation 'Kumbuka Afrika', under the leadership of Mr Yves Bawa who as Pact's DRC, Burundi, Rwanda Country Director implemented ITSCI over the past 10 years. All ITSCI on-the-ground management teams also transitioned to Kumbuka Afrika in a seamless process.

Kumbuka Afrika continued to work in cooperation with national governments in-region, who expressed their support and ongoing collaboration moving forward.

# 2023 in numbers

2,740

ITSCI  
monitored mines



58  
mines added to the  
programme in 2023

~90,500

miners




8,433

joint visits



~740,000

tags used



23,436

export tonnage,  
in tons



1,763

incidents  
recorded



55  
incidents recorded  
via whistleblowing

2,017

radio spots promoting ITSCI  
whistleblowing programme  
broadcasted on

29  
community radios



988

training sessions

16,432

beneficiaries



58

multi-stakeholder  
committees (CLS,  
CPP) in the DRC

16

District Mining  
Task Forces  
(DMTF) in Rwanda

# Foreword from the Governance Committee

As we look back on 2023, we are proud of the resilience of the ITSCI teams and the commitment of our members to maintain and improve implementation. Working in CAHRA comes with many and extreme challenges, yet ITSCI continues our efforts to facilitate due diligence in a complex landscape. In June 2023, **a second independent Alignment Assessment of the ITSCI Programme concluded ITSCI is 'Fully Aligned' with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from CAHRA**. This achievement is the result of ongoing and progressive improvements by the programme in recent years, building on the status of operations previously assessed in the first 2018 OECD Alignment Assessment.

## **Challenges with impact ranging from local, national to global levels**

The security context in an active, or post conflict area remains extremely fragile, with the ongoing presence of non-state armed groups. In 2023, the Governance Committee remained very attentive to security developments in the Great Lakes Region, regularly discussing with ITSCI Programme management. A particular focus was related to activities of non-state armed group M23 in Masisi territory, North Kivu Province, DRC, which led to the suspension of ITSCI activities in the territory first between March and May, and a second time from December. This situation illustrates some key challenges that the programme is currently facing, in light of the multiple security, political, and socio-economic dynamics on the ground. In this regard, maintaining our field teams' safety remains an absolute prerequisite for on-the-ground activities. Simultaneously, the security situation as well as the suspension of activities, have led to increased risks in mineral supply chains in particular mineral fraud in North Kivu and in neighbouring areas. As a result, the Governance Committee supported, where possible, mitigation measures, aiming to avoid disengagement while maintaining and fostering engagement with local, provincial and national stakeholders, which is essential to advancing good governance in 3T mining in CAHRA.

## **Risk-based approach to due diligence**

We have encouraged the ITSCI management and field teams to re-double efforts to engage all local stakeholders, including companies, in incident reporting and risk management plans, using a risk-based approach. We value our partnership with government agencies, our engagement with mining operators and our participatory approach with civil society and

community stakeholders, which have been instrumental in achieving positive results. These include for instance actions by high-ranked officials in the DRC as well as joint missions to address security concerns and fully evaluate activities and security at mine sites in North Kivu due to a rapidly changing context, therefore increasing transparency with first-hand information from on-the-ground team and enabling informed decision-making processes by companies.

## **What lies ahead?**

ITSCI strives to continually adapt and enhance activities to facilitate progressive improvement and to achieve our vision. To further strengthen the credibility of the programme and promote best practices, we remain committed to independent Alignment Assessments at least every five years conducted by a credible, qualified, and independent third-party assessor. In relation to this topic, we also remain engaged with the RMI to discuss and find a resolution to the issue of RMI recognition of ITSCI. And while the programme continues to grow, we continue to improve our systems, in particular with electronic data collection.

## **Thank you**

We are grateful to all members of ITSCI, including our dedicated employees and valued partners, for their support and commitment to our mission. Together, we continue to navigate through multiple challenges, working closely with government partners and stakeholders in the Great Lakes region to mitigate risks and drive progress. Thank you to each and every member of the ITSCI family for your invaluable contributions.

**Kay Nimmo**, International Tin Association (ITA)

**Ian Margerison**, Tantalum Niobium International Study Center (T.I.C.),

# Capacity building in Goma, DRC

We are committed to ongoing training which is vital for field teams to stay up to date with the programme, our standard, procedures, and to enable them to maintain adaptability in their roles. Working in conflict affected and high risks areas comes with many challenges, yet ITSCI continues to work to achieve important enhancements in a complex landscape. Training sessions reinforce

existing knowledge, ensuring teams remain proficient and up to date with best practices. This continuous learning approach fosters confidence, improves efficiency, and enhances problem-solving abilities, ultimately leading to better outcomes in dynamic environments.



In June 2023, ITSCI field teams from all the provinces of the Democratic Republic of Congo (DRC) where ITSCI operates gathered in Goma, provincial capital of North Kivu Province, for a capacity building and training week. Field officers are typically the face of ITSCI when it comes to engaging with local miners and exporters, facilitating data collection and the distribution of traceability materials, including tags and logbooks. As part of their role, they monitor mining activities in their area of deployment; collect, verify, and report risk information; engage with local authorities, traditional authorities, multiple state services (in charge of mining and beyond), security forces, mining operators, and civil society representatives; facilitate discussion at multi-stakeholder committee meetings; and conduct capacity-building and awareness training of local stakeholders.

The training week was an important capacity-building event to increase the team's expertise on various topics related to responsible mining practices and mineral trade. It was also an opportunity to familiarise field teams on the new ITSCI mine baseline template which was developed to better align with the OECD Annex II risks and including, when relevant, clear references to ITSCI incidents, thus enabling ITSCI smelter members improve review and assessment of Annex II risks.

ITSCI project managers gave an update of programme activities in each of their respective provinces in the DRC, and teams were able to share how some challenges were overcome, to learn from each other, and to see if successful approaches could be replicated in other areas. In addition, the field team reviewed how incidents are classified and reported to facilitate communication with companies and foster successful incident resolution. The ITSCI Reporting Officer for Rwanda explained the process related to the Due Diligence List used in Rwanda, a tool intended to assist mineral buyers to flag the possible need for further evaluation of production quantities for their risk assessment. The Due Diligence List, currently implemented in Rwanda, will be rolled out to the DRC as well.

ITSCI Programme Manager, Mickaël Daudin, talked about recent advancements in the programme, highlighting the significant contributions made by ITSCI field teams. A thorough evaluation of the distribution of ITSCI materials and data collection processes was made, discussing the challenges they encountered in the field and brainstorming potential solutions.

Various activities were also incorporated, such as icebreakers and break-out sessions, fostering team building among participants!



# Promoting transparency in 3T Minerals

Providing verified and credible supply chain information exchange is fundamental to the Programme. Since its establishment, ITSCI has been promoting transparency both in the programme and in 3T due diligence information that recognises business confidentiality and encourages continued sourcing from CAHRA.

Since our first 2018 independent Alignment Assessment, the ITSCI Secretariat has been working to improve our communications both with ITSCI members and the public. Improvements include:

## Public information

- Annual Reports with key ITSCI activities and programme's improvements published on our website and through newsletters
- An international Stakeholder Group was established to promote constructive dialogue, provide updates, and share ideas or suggestions with stakeholders from international organisations, governments, NGOs and academia
- Providing frequent updates from the field to increase transparency and awareness of ITSCI activities through our websites, as well as newsletters and reports of field training and local stakeholder meetings

## Members information

- Welcome calls to new ITSCI membership applicants, providing an overview of ITSCI, the application process, and the required documentation, as well as training components explaining what company management systems are expected in line with the OECD Guidance.
- Improved incident alert system designed to speed up communication of verified information, enabling members to rapidly assess risks.





# Full Alignment with the OECD Guidance

## Key improvements since 2019

"ITSCI's vision is that responsible sourcing of 3T minerals from CAHRA lessens the risk of unfounded disengagement by businesses. Supporting companies and promoting due diligence best practices and improved governance through an industry scheme not only reduces risks of serious abuses but also creates opportunities for economic and social development in extracting countries, while contributing to the formalisation of the mining sector.

Our continued investment in field teams, system improvements, and adoption of new technologies underscores our dedication to responsible mineral supply chains and sustainable practices.

The results from ITSCI's second independent Alignment Assessment demonstrate that we continue to make significant progress and that facilitating effective due diligence on minerals from CAHRA leads to long-term and lasting change at scale."

**Mickaël Daudin, ITSCI Programme Manager**



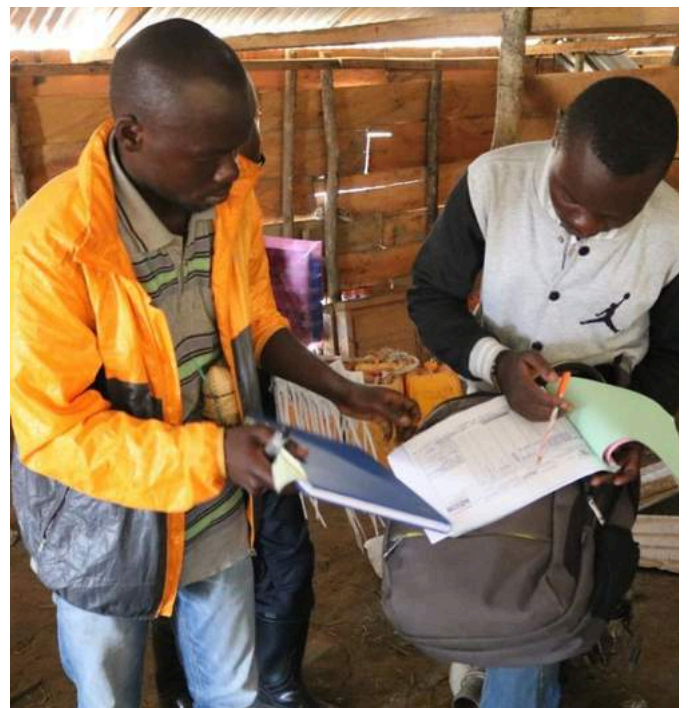


## KEY IMPROVEMENTS ON RISK MITIGATION, ONE OF THE MAIN STRENGTHS OF THE PROGRAMME

ITSCI activities are carried out in partnership with governments, and in cooperation with local partners, including NGOs and CSOs. To support risk management, we facilitate the set-up of multistakeholder committees chaired by the government and including civil society and mining operators. Such partnership with governments and state services is central to fostering accountability and achieving lasting improvements at scale. Improvements achieved in the past five years include;

### IN THE DRC

- Local and provincial committees – known as ‘CLS’ and ‘CPP’ respectively – consist of mining cooperatives, local authorities, state services and security forces, negociants (local traders), companies, and civil society groups.
- From 2019 to 2022, the number of local multi-stakeholder committees (CLS) set-up or facilitated by ITSCI significantly increased across the DRC: from 35 committees at the beginning of 2019 to 58 at the end of 2023.
- In South Kivu Province, several CLS were set-up by ITSCI in 2022 following expansion of the programme’s activities to new areas. One CLS was set up in the border area between Shabunda territory (South Kivu) and Punia territory (Maniema) with meetings taking place alternatively in each province and CLS members from both sides attending and sharing information, contributing to better mitigate risks such as mineral fraud between both provinces.
- In Maniema Province, ITSCI provided guidance to the Governor of Maniema and provincial stakeholders to develop a decree establishing a formal link between local and provincial committees, including reporting obligations to better review activities and discuss possible actions needed at provincial level to help with risk mitigation locally.



# Full Alignment with the OECD Guidance

## Key improvements since 2019

### KEY IMPROVEMENTS ON RISK MITIGATION, ONE OF THE MAIN STRENGTHS OF THE PROGRAMME

#### IN RWANDA

- In 2019, ITSCI started to advocate for the creation of District Mining Task Forces (DMTF) in the country due to their crucial role in addressing risks. DMTF were first composed of state services but following ITSCI recommendation and support later expanded to include local CSO, thus meeting the OECD definition of multi-stakeholder group.
- The DMTFs are a joint effort where RMB, ITSCI, local authorities and security forces address topics related to mining, for instance informal mining. By the end of 2023, 16 districts implemented task forces. RMB sent an official letter to all the district asking them to constitute the DMTFs and appointed one official to supervise the creation of the forces in all the districts.
- ITSCI set-up a framework for joint ITSCI-RMB mine site visit to allow an efficient monitoring of activities and joint mitigation and follow-up of incidents. ITSCI and RMB review and plan joint visit monthly. Joint visit reports are shared with mining companies after such visit, with recommendations on how to improve activities.



#### IN BURUNDI

- In 2022, the roll out of electronic 3T mineral data collection covering all levels (mine, processing and export) and all mine sites and companies across the country through an ITSCI mobile application improved data collection, enabled rapid access to data, and recording errors in Burundi (*see more page 13*)
- ITSCI facilitated the set-up of a national steering committee on the ITSCI Programme, standards and procedures. ITSCI also supported the drafting of the committee's rules and procedures.





## KEY IMPROVEMENTS IN DELIVERING TRAINING AND TOOLS

### WITH GOVERNMENTS



- In the DRC, ITSCI engaged with state services in Maniema, North Kivu, and South Kivu Provinces to support the establishment of an interprovincial mineral transport process between the three provinces, to facilitate mineral trade from one province to another. ITSCI adapted traceability procedures based in this context of minerals being transferred from one Province to another for subsequent export and a guide was developed for exporters to understand the decree and what checks should be carried out.
- A train-the-trainer approach was developed and implemented with SAEMAPE and Divimines leadership in Haut-Lomami and Haut-Katanga Province, DRC. To enable selected trainers among SAEMAPE and Divimines to deepen their knowledge and skills and to train their own agents, a standard methodology was developed which ensures consistency in the delivery of training content and learning outcomes, in particular for newly deployed state agents. This in turn deepens the knowledge and skills of those agents and promotes continuous learning as well as enhances institutional memory among state services.
- In targeted areas in the DRC, ITSCI carried out specific training with Armed Forces of the DRC (FARDC) on due diligence, national laws, risk assessment and risk mitigation, within the framework of follow-up on high-risk level-1 incidents.
- In Rwanda, as part of training and capacity building of Rwanda Mines, Petroleum and Gas Board (RMB) Field Officers (FO), ITSCI developed, shared, and trained RMB FOs on a checklist for visits at mine companies and mine sites. This aims to help RMB FOs to assess all potential risks at mine sites and monitoring activities appropriately.

# Full Alignment with the OECD Guidance

## Key improvements since 2019

### KEY IMPROVEMENTS IN DELIVERING TRAINING AND TOOLS



#### WITH COMPANIES

- ITSCI developed a training manual on the practical implementation of the OECD Guidance, focusing on risk assessment and mitigation. The manual was tailored for local exporters in the DRC and distributed during multiple training sessions across DRC provinces.
- As part of their risk assessment and mitigations, ITSCI exporter members are encouraged to conduct field visits to their suppliers at the mine level. ITSCI provided a template to exporters with the objective to enhance their information collection and assessment of the possible risks to their supply chain, in particular on plausibility and encouraging them to draft follow-up actions and make improvement recommendations to their suppliers, based on observations. When relevant, ITSCI also share feedback to companies on how to improve their reports.
- ITSCI developed and provided training and guidance for exporters contracting public and/or private security providers, including on the Voluntary Principles on Security and Human Rights.





## KEY IMPROVEMENTS IN DELIVERING TRAINING AND TOOLS

### WITH ITSCI ON-THE-GROUND TEAMS



- When recruited, each Field Officer receives full training, including tools and manuals, covering the following topics: OECD Due Diligence Guidance, local and international laws and standards, ITSCI Standards, and all ITSCI processes and procedures, in particular on ITSCI incident management mechanism, traceability procedures, tag management, and stakeholder engagement.
- ITSCI developed a specific manual to provide guidance on key types and categories of incidents, including what risks to consider, follow-up actions to take, and recommendations to give based on the stakeholder group.
- Field Officers are rotated on a regular basis, depending on consideration related to local security, political and social context. As part of their role, they undergo regular performance assessment, and an online questionnaire was also rolled-out to assess and enhance ITSCI Field Officers' knowledge and skills on ITSCI procedures, due diligence, risk management.

### WITH LOCAL AND PROVINCIAL MULTI-STAKEHOLDER COMMITTEES

- ITSCI conducted multiple training sessions of CLS and CPP committees across the DRC. The training focused on the role and responsibilities of local and provincial committees, on risk assessment and mitigation, and on due diligence overall. While these improvements are welcomed, we continue to emphasise the importance of additional training for further committees.
- 39 training sessions of CLS/CPP across the 7 provinces where ITSCI operates were held, benefitting over 1,490 participants. These training included case studies on mitigation actions a committee could take to address risks, enhancing accountability of the committee's members.



# Data and traceability management

## Improvements since 2019

ITSCI generates an extensive volume of data, as exemplified by the following figures:

- In the last five years, approximately 3.5 million of tags were used at different stages of the supply chain to record minerals across the Great Lakes Region, including nearly 900,000 in 2022 alone.
- On average, ~2,200 tonnes of 3T minerals are produced monthly at ITSCI mines across the Great Lakes Region.
- In 2023, 1,173 mineral shipments were exported through ITSCI to smelters in 34 countries worldwide.

These examples show how data management is a key component of ITSCI activities. Since its inception, ITSCI relied on paper-based logbook for traceability, which was a simple and practical, economical, and fit-for-purpose solution.

The most significant achievement consists in the **roll-out of electronic data collection at mine, processor and export level in Burundi** which has improved data accuracy and transmission times. Concretely, this means:

- Development of a mobile app with all build-in controls in place to ensure appropriate checks-and-balances, including in an environment without any phone or internet network. This also included adjustments made following initial feedback from state services.
- Training of all state agents from the Burundian Office of Mines (Office Burundais des Mines, OBM) on the use of tablet and recording of traceability information via the mobile app.
- Online report with access given to OBM, inc. a visualisation of production and export. Appropriate training was also delivered to OBM leadership in that regard.
- Electronic receipts sent digitally directly to processors and exporters to enable rapid and transparent data sharing.





At the Secretariat level, ITSCI invested significant resources:

- In building a digital platform and database to improve processes and to develop, enhance and automate data evaluation. Some data information is now directly entered into the platform by ITSCI data officers in-region, thus reducing duplication of tasks and increasing efficiency.
- Since 2020, data entry has gone paperless, avoiding the printing of ~1,500 logbooks every week and speeding up data entry.
- For traceability, all tags used to be 7 unique digits. However, after exhausting these options, we switched to 8-digit numbers, requiring online system updates for new data validation
- More recently, new functionalities were added to the online data management platform, such as the Due Diligence list enabling automated electronic reporting.
- Several data processes were integrated into the platform, enabling the centralisation of information from mine to smelter and allowing easier checks on accuracy and data quality. This has also improved controls and the spotting of possible risks more automatically, resulting in incidents recorded and followed-up by local ITSCI teams on the ground.
- Adjustments were made in the database to process data to account for new procedures on the ground in the DRC, with interprovincial mineral transports between Maniema, North Kivu and South Kivu.



# Ushimbaji kwa Kanuni, heshima na Haki

## Supporting Responsible and Inclusive Mining Governance

Last year, ITSCI was delighted to launch a new project in the DRC, funded by Associate Member TPV Technology. This project extends the ITSCI Programme beyond the OECD Due Diligence Guidance demonstrating our commitment to amplifying social value within artisanal mining. The two-year project seeks to improve safety, security, and gender equality while avoiding human rights abuses in the artisanal mining sector in ITSCI monitored areas in North and South Kivu Provinces.

In conflict-affected and high-risk areas, risks related to human rights and security may arise due to lack of knowledge about legal instruments, protections, and responsibilities in the mining sector.



Expanding our footprint, ITSCI will conduct awareness campaigns on the DRC Mining Code and on Occupational Health and Safety (OHS) among mining communities in Masisi territory, North Kivu Province, and Kalehe territory, South Kivu Province. The strategic selection of these areas holds significance, as it ensures continuity in our activities, leveraging existing relationships with territorial and provincial authorities, while addressing prevalent issues at the border zone between North and South Kivu. This project component includes the set-up of multi-stakeholder OHS committees at several mine sites to assess and mitigate risks of accidents or avoid them from occurring.

Our focus extended to promote women's rights and representation by organising awareness-raising sessions with selected mining stakeholders on the following topics: the representation of women in mining, risks related to sexual and gender-based violence (SGBV), women's rights in mining, gender prejudices, gender equality, empowerment and contribution of women working in mining, and good governance. Once sensitised, these 'champions' will be able to in turn disseminate core messages and information to their peers in mining areas.

Another component of the project underscores our commitment to inclusivity through the assessment and survey of marginalised groups and individuals living with disabilities within the mining sector.

Our collaboration with TPV signifies a pivotal step towards our vision of a responsible and socially conscious mineral supply chain. We remain committed to not only meet industry standards but to surpass them, creating meaningful change and leaving a sustainable impact.

"We are excited and confident that as supply chain partners we can contribute to better governance, strengthened human rights, and improved stability. ITSCI has the right network and experience to create more awareness of the new DRC Mining Code but also to give the necessary OHS training. The new activities including the support of women-owned mining and mineral operations, and awareness-raising on gender, along with the research on disabilities, will lead to a more inclusive workforce with safer boundaries and better returns."

*Stefan van Sabben, Global Director CSR and Sustainability at TPV*



# Extension of activities in Tshopo, DRC

In October 2023, we were delighted to extend activities in Tshopo Province, marking the programme's presence in an 8th province within the DRC. This expansion was made possible through a Memorandum of Understanding signed between Tshopo and Maniema Provinces, which enables minerals produced at mines within Tshopo to be transferred to Maniema Province for onward trade and export.

This agreement involved a series of steps and comprehensive engagements between both provinces which ITSCI helped to facilitate. Initially, we engaged with provincial Governor and Minister of Mines in

Tshopo to raise awareness about the ITSCI Programme, our approach, the OECD Guidance, due diligence practices, and mineral traceability. Given the current absence of 3T exporters in Tshopo Province, these discussions were particularly vital in devising solutions to logistical challenges and ensuring to set up procedures.

Integral to the process were assessment visits and reports by ITSCI field team to evaluate the situation, logistics, stakeholder, and potential risks, prior to expanding ITSCI to this new area. From October to December 2023, over 14 field visits by ITSCI staff were carried out and 7 awareness-raising and capacity-building training sessions were conducted.



## Our Whistleblowing Programme's Positive Impact on Risk Reporting in the DRC

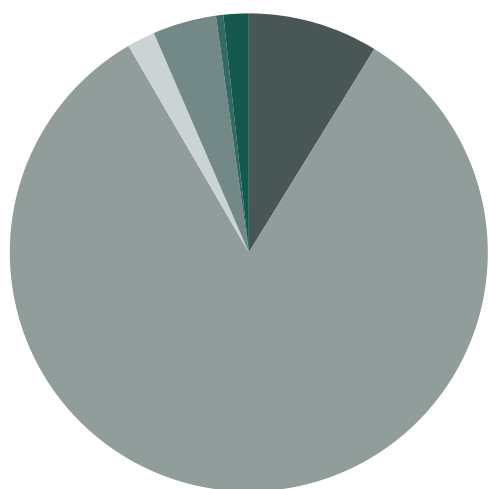
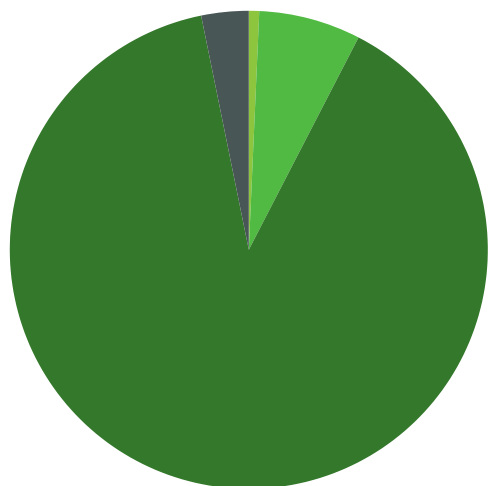
Since 2019, the ITSCI whistleblowing programme partners with local community radios who broadcast several times per week in both French and Swahili, raising awareness about due diligence and advertising how to report risks in mining areas anonymously and free of charge. At the end of 2023, the radio campaign was extended to five new community radios, including three in Tshopo Province where ITSCI expanded activities.

The project continues to be successful in raising awareness, sparking dialogue, and fostering action in artisanal mining communities. Positive feedback was gathered from community radios on the campaigns, and in particular on addressing Child Labour in Mining. In Maniema Province for instance, local authorities used the campaign to inform the Lubutu community about artisanal mining and raise awareness among parents regarding the risks associated with child labour in mines. Listeners reported the campaign was beneficial and encouraged the radio station to "rebroadcast without limits."

Similarly, in North Kivu, artisanal miners and members of a mining cooperative announced that they would no longer allow children to work in the mines. Still in North Kivu, in Walikale territory, radio stations are planning to facilitate community forums where locals can talk about artisanal mining, child labour, and risks.

In Tshopo Province, despite logistical challenges posed by limited phone network coverage, communities reported educating children about the dangers of mining and encouraging them to go to school.

# Our finances



FUNDING	US\$	%
Downstream member fees	55,559	0.7
Upstream member fees	574,559	6.9
Upstream mineral levy	7,480,371	89.3
Upstream direct payments	0	0
Donation/other	266,056	3.2
Donor, field implementation	0	0
<b>TOTAL</b>	<b>8,376,545</b>	<b>100</b>
Sub-total via ITA	8,376,545	100

EXPENSES	US\$	%
Traceability and data	889,124	8.8
Field implementation	8,377,485	82.8
Field implementation, donor	0	0
Independent evaluation	194,428	1.9
Secretariat	435,353	4.3
Governance Committee	48,069	0.5
W/blowing & Ombudsman	175,701	1.7
<b>TOTAL</b>	<b>10,120,161</b>	<b>100</b>
Sub-total via ITA	10,120,161	100
2-month reserve fund	1,460,000	
2023 bad debts written off	51,257	
2023 annual loss	-1,743,616	
<b>Ongoing Programme balance</b>	<b>66,484</b>	

## Notes:

Upstream funding from levies and fees represented 96.2% of total funding. Since 2016 and 2019 respectively, the levy rate for each wolframite and coltan has been harmonised across the region.

'Donation/other' includes a contribution from a downstream company to support incident, whistleblowing activities.

US\$ 51k has been written off. The programme currently has a reserve equivalent to two months field implementation reserve funds for emergency needs or in case of programme close-out plans.

## Funding:

ITSCI has operated at large scale with no donor funds since January 2017. The programme is operated at cost by not-for-profit associations and all revenues are held in trust for sole use for ITSCI activities. Since our establishment in 2010, we have improved due diligence, and supported companies, government and civil society to understand and mitigate risks aligned with the OECD Due Diligence Guidance. We continue to engage downstream companies and encourage their financial support to the programme.



# Annual Statement

AFI183/1331466/HT

7 May 2024



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## WHOM IT MAY CONCERN

### ITSCI PROGRAMME ACCOUNTING – INTERNATIONAL TIN ASSOCIATION LIMITED

Rayner Essex LLP are the statutory auditors of International Tin Association Limited, the company which is the Secretariat of the ITSCI Programme. We confirm that income, expenditure and the reserve fund related to the ITSCI Programme is recorded separately within the International Tin Association Limited accounting systems and included within our audit of the financial statements of International Tin Association Limited for the year to 31 December 2023. We also confirm that separate bank accounts are held exclusively for the ITSCI Programme and in September 2016 a formal declaration of trust was established over the funds to confirm use only for purposes stated in the ITSCI Membership Agreement. Our audit was conducted in accordance with International Standards on Auditing (UK and Ireland).

In accordance with our invariable practice this information is given strictly without responsibility on the part of the writer or the firm and we cannot be held responsible for any financial liability under any circumstances.

Yours faithfully

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