

As stated in the [ITSCI-RMI shared understanding on recognition](#):

*The scope and extent of both the ITSCI alignment assessment and RMI recognition will be communicated transparently to support understanding of participating companies, while also emphasising that companies retain individual responsibilities for due diligence while making use of, but not solely relying on, any initiative.*

This document describes the scope of ITSCI according to the 2023 Alignment Assessment for the purpose of RMI recognition.

## General overview

The [second OECD Alignment Assessment report in respect of the ITSCI Programme](#) describes the scope of the ITSCI Programme as follows:

*The scope of the ITSCI programme is in supporting companies to carry out due diligence on mineral supply chains in high risk areas by:*

- *Establishing monitored supply chains of minerals from conflict-affected and high risk areas*
- *Providing information on risks to programme participants and key stakeholders*
- *Monitoring risk mitigation actions*
- *Undertaking certain due diligence activities on programme participants' behalf*

Detailed information is found both within the Alignment Assessment report of ITSCI and the associated [Alignment Assessment Tool](#). A summarised overview of the scope of ITSCI is provided in the different categories below.

## Type of initiative

ITSCI supports, facilitates, and enables companies to fulfil their due diligence responsibilities, while individual companies retain responsibility for due diligence. This is in line with the role of joint industry initiatives described in the OECD Guidance:

*“Upstream companies may cooperate to carry out the recommendations in this section through joint initiatives. However, companies retain individual responsibility for their due diligence, and should ensure all joint work duly takes into consideration circumstances specific to the individual company.” (OECD Guidance, p. 41)*

ITSCI does not provide assurance or certification.

## Scope of ITSCI supply chain segments and OECD steps

The table below is taken from Tab 1 of the Alignment Assessment tool completed on ITSCI. It defines the applicability and scope of ITSCI to supply chain segments (horizontal axis) and steps of the OECD 5-Step Framework (vertical axis):

	Mine site	Transport/ trading	Export	Smelting/refining	Downstream
Step 1: Establish strong management systems	Programme scope	Programme scope	Programme scope	Out of scope	Out of scope
Step 2: Identify and assess risks in the supply chain	Programme scope	Programme scope	Programme scope	Out of scope	Out of scope
Step 3: Design and implement a strategy to respond to identified risks	Programme scope	Programme scope	Programme scope	Out of scope	Out of scope
Step 4: Independent third party audit of due diligence	Out of scope	Out of scope	Out of scope	Out of scope	Out of scope
Step 5: Report on supply chain due diligence	Programme scope	Programme scope	Programme scope	Out of scope	Out of scope

While Step 4 is out of scope, ITSCI requires smelter members subject to audit under a different programme to facilitate auditor access and provide auditors with access to relevant information from the ITSCI programme.

Tab 2 of the Alignment Assessment tool indicates which individual criteria are applicable, or not applicable, to the scope of ITSCI within each section of the assessment.

## Scope of ITSCI membership

ITSCI offers Full Membership for upstream companies from mine to smelter level; and Associate Membership for downstream companies.

## Geographical scope

The ITSCI Programme has been implemented on the ground in Burundi, the Democratic Republic of the Congo (DRC), Rwanda, Uganda.

## Minerals in scope

The ITSCI Programme has been implemented for cassiterite, columbotantalite and wolframite.

## Risks in scope

ITSCI focuses on the identification and mitigation of OECD Annex II risks.